



Level 5  
Ernst & Young Building  
2 Takutai Square  
Britomart, Auckland  
PO Box 106 672  
Auckland 1143

P: +64 9 300 0400  
F: +64 9 300 0499

# STATEMENT OF RESPONSE

**COMPLAINT NO:**

To: **The Registrar**  
**Financial Advisers Disciplinary Committee**  
PO Box 106 672  
Auckland 1143  
**Email:** registrar@fadcd.govt.nz

**AND**

To: **Financial Markets Authority**

I, [Title and full name] \_\_\_\_\_

(Financial Adviser) of [address] \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

dispute the following:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

I submit the following documents [and witness statements] for consideration:  
**(list attached documents)**

\_\_\_\_\_

\_\_\_\_\_

**Signed:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Notes:**

This Statement of Response must be completed, signed and returned to the Registrar: Financial Advisers Disciplinary Committee within 10 working days after the Financial Markets Authority (FMA) has made disclosure of all relevant material, or alternatively within the timeframe ordered by the Chairperson for delivery. A copy of the Statement is to be served on FMA within such time.